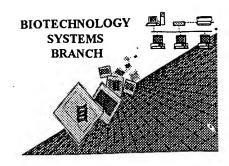
S, rives town RAW SEQUENCE LIS ERROR REPORT

Date Processed by STIC:



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/489,667 Source: TECH CENTER 1600/2900

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR FURTHER INFORMATION, PLEASE TELEPHONE MARK SPENCER. 703-308-4212.

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2Kcompliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

J. Skivavta

1653

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/489,667

DATE: 06/21/2000 TIME: 12:50:31 Does Not Comply
Corrected Diskette Needed

Input Set : A:\D28751.app

Output Set: N:\CRF3\06212000\1489667.raw

```
3 <110> APPLICANT: Donovan, Stephen
      5 <120> TITLE OF INVENTION: CLOSTRIDIAL TOXIN DERIVATIVES AND METHODS FOR TREATING
             PAIN
      8 <130> FILE REFERENCE: botulinum-subP/pain/D2875
     10 <140> CURRENT APPLICATION NUMBER: 09/489,667
     11 <141> CURRENT FILING DATE: 2000-01-19
     13 <160> NUMBER OF SEQ ID NOS: 14
     15 <170> SOFTWARE: PatentIn Ver. 2.1
     17 <210> SEO ID NO: 1
     18 <211> LENGTH: 11
                                                                                      JUL 12 2000
     19 <212> TYPE: PRT
     20 <213> ORGANISM: Unknown Organism
     22 <220> FEATURE:
                                                                                  TECH CENTER 1600/2900
     23 <221> NAME/KEY: MOD_RES
     24 <222> LOCATION: (11)
     25 <223> OTHER INFORMATION: AMIDATION
     27 <220> FEATURE:
     28 <223> OTHER INFORMATION: Description of Unknown Organism: This fragment is
             substance P and is very well known in the art.
     31 <220> FEATURE:
47 <213> ORGANISM: Unknown Organism
     49 <220> FEATURE:
     50 <223> OTHER INFORMATION: Description of Unknown Organism: Precursor to
     51
             substance P, which is very well known in the art.
53 <300> PUBLICATION INFORMATION W--> 54 <310> PATENT DOCUMENT NUMBER: 5891842
     55 <311> PATENT FILING DATE: 1996-04-12
     56 <312> PUBLICATION DATE: 1999-04-06
     58 <300> PUBLICATION INFORMATION:
     59 <301> AUTHORs: Shimonka,
     60
             et al.,
     61 <303> JOURNAL: J. Neurochem.
     62 <304> VOLUME: 59
63 <306> PAGES: 81-92
W--> 64 <307> DATE: 1992
66 <400> SEQUENCE: 2
```

```
DATE: 06/21/2000
                       RAW SEQUENCE LISTING
                       PATENT APPLICATION: US/09/489,667
                                                                   TIME: 12:50:31
                       Input Set : A:\D28751.app
                      Output Set: N:\CRF3\06212000\I489667.raw
     67 Arg Pro Lys Pro Gln Gln Phe Phe Gly Leu Met Gly
     71 <210> SEQ ID NO: 3
     72 <211> LENGTH: 13
     73 <212> TYPE: PRT
     74 <213> ORGANISM: Unknown Organism
     76 <220> FEATURE:
     77 <223> OTHER INFORMATION: Description of Unknown Organism: This fragment is
               a precursor to substance \ensuremath{\mathtt{P}} and is very well known
     78
     79
               in the art.
     81 <300> PUBLICATION INFORMATION:
W--> 82 <310> PATENT DOCUMENT NUMBER: 5891842
     83 <311> PATENT FILING DATE: 1996-04-12
     84 <312> PUBLICATION DATE: 1999-04-06
     86 <300> PUBLICATION INFORMATION:
     87 <301> AUTHORs: Shimonka,
     88
              et al.,
     89 <303> JOURNAL: J. Neurochem.
     90 <304> VOLUME: 59
     91 <306> PAGES: 81-92
W--> 92 <307> DATE: 1992
     94 <400> SEQUENCE: 3
     95 Arg Pro Lys Pro Gln Gln Phe Phe Gly Leu Met Gly Lys
     96
                            5
     99 <210> SEQ ID NO: 4
     100 <211> LENGTH: 14
101 <212> TYPE: PRT
     102 <213> ORGANISM: Unknown Organism
     104 <220> FEATURE:
     105 <223> OTHER INFORMATION: Description of Unknown Organism: This fragment is a
     106
                precursor to substance P and is very well known in
     107
                the art.
109 <300> PUBLICATION INFORMATION: W--> 110 <310> PATENT DOCUMENT NUMBER: 5891842
     111 <311> PATENT FILING DATE: 1996-04-12
     112 <312> PUBLICATION DATE: 1999-04-06
     114 <300> PUBLICATION INFORMATION:
     115 <301> AUTHORs: Shimonka,
     116
                et al.,
     117 <303> JOURNAL: J. Neurochem.
     118 <304> VOLUME: 59
     119 <306> PAGES: 81-92
W--> 120 <307> DATE: 1992
122 <400> SEQUENCE: 4
     123 Arg Pro Lys Pro Gln Gln Phe Phe Gly Leu Met Gly Lys Arg
     124
     127 <210> SEQ ID NO: 5
     128 <211> LENGTH: 12
129 <212> TYPE: PRT
```

PATENT APPLICATION: US/09/489,667 TIME: 12:50:31 Input Set : A:\D28751.app Output Set: N:\CRF3\06212000\I489667.raw 130 <213> ORGANISM: Artificial Sequence 132 <220> FEATURE: 133 <223> OTHER INFORMATION: Description of Artificial Sequence: This fragment 134 is a carboxy-ester synthetic precursor to 135 substance P. 137 <220> FEATURE: 138 <223> OTHER INFORMATION: The Gly at the carboxy terminal (Gly at position 139 12) is methylated. 141 <300> PUBLICATION INFORMATION: W--> 142 <310> PATENT DOCUMENT NUMBER: 5891842 RECEIVED 143 <311> PATENT FILING DATE: 1996-04-12 144 <312> PUBLICATION DATE: 1999-04-06 JUL 12 2000 146 <300> PUBLICATION INFORMATION: 147 <301> AUTHORs: Lee, et al., 149 <303> JOURNAL: Eur. J. Biochem. TECH CENTER 1600/2900 150 <304> VOLUME: 114 151 <306> PAGES: 315-327 W--> 152 <307> DATE 1981 154 <300> PUBLICATION INFORMATION: 155 <301> AUTHORs: Pernow, B. 156 <303> JOURNAL: Pharmacol. Rev. 157 <304> VOLUME: 35 158 <306> PAGES: 86-138 W--> 159 <307> DATE 1983 161 <300> PUBLICATION INFORMATION: 162 <301> AUTHORS: Regoli, 163 et al., 164 <303> JOURNAL: TIPS 165 <304> VOLUME: 9 166 <306> PAGES: 290-295 W--> 167 <307> DATE: 1988 169 <400> SEQUENCE: 5 170 Arg Pro Lys Pro Gln Gln Phe Phe Gly Leu Met Gly 174 <210> SEQ ID NO: 6 175 <211> LENGTH: 13 176 <212> TYPE: PRT 177 <213> ORGANISM: Artificial Sequence 179 <220> FEATURE: 180 <223> OTHER INFORMATION: Description of Artificial Sequence: This is a 181 carboxy ester synthetic precursor to substance P. 183 <220> FEATURE: 184 <223> OTHER INFORMATION: The Lys at the carboxy-terminus (Lys at position 185 13) is methylated. 187 <300> PUBLICATION INFORMATION W--> 188 <310> PATENT DOCUMENT NUMBER 5891842 189 <311> PATENT FILING DATE: 1996-04-12 190 <312> PUBLICATION DATE: 1999-04-06

DATE: 06/21/2000

RAW SEQUENCE LISTING

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/489,667

Input Set: A:\D28751.app
Output Set: N:\CRF3\06212000\1489667.raw

192 <300> PUBLICATION INFORMATION: 193 <301> AUTHORs: Lee, 194 et al., 195 <303> JOURNAL: Eur. J. Biochem. 196 <304> VOLUME: 114 197 <306> PAGES: 315-327 W--> 198 <307> DATE: (1981) 200 <300> PUBLICATION INFORMATION: 201 <301> AUTHORs: Pernow, B. 202 <303> JOURNAL: Pharmacol. Rev. 203 <304> VOLUME: 35 204 <306> PAGES: 86-138 W--> 205 <307> DATE: 1983 207 <300> PUBLICATION INFORMATION: 208 <301> AUTHORS: Regoli, 209 et al.. 210 <303> JOURNAL: TIPS 211 <304> VOLUME: 9 212 <306> PAGES: 290-295 W--> 213 <307> DATE 1988 215 <400> SEQUENCE: 6 216 Arg Pro Lys Pro Gln Gln Phe Phe Gly Leu Met Gly Lys 217 220 <210> SEQ ID NO: 7 221 <211> LENGTH: 14 222 <212> TYPE: PRT 223 <213> ORGANISM: Artificial Sequence 225 <220> FEATURE: 226 <223> OTHER INFORMATION: Description of Artificial Sequence: This is a 227 carboxy ester sythetic precursor to substance P. 229 <220> FEATURE: 230 <223> OTHER INFORMATION: The Arg at the carboxy-terminus (Arg at position 14) is methylated. 233 <300> PUBLICATION INFORMATION: W--> 234 <310> PATENT DOCUMENT NUMBER: 5891842 235 <311> PATENT FILING DATE: 1996-04-12 236 <312> PUBLICATION DATE: 1999-04-06 238 <300> PUBLICATION INFORMATION: 239 <301> AUTHORs: Lee, 240 et al., 241 <303> JOURNAL: Eur. J. Biochem. 242 <304> VOLUME: 114 243 <306> PAGES: 315 327 W--> 244 <307> DATE 1981 246 <300> PUBLICATION INFORMATION: 247 <301> AUTHORs: Pernow, B. 248 <303> JOURNAL: Pharmacol. Rev. 249 <304> VOLUME: 35

250 <306> PAGES: 86-138

PATENT APPLICATION: US/09/489,667 Input Set : A:\D28751.app Output Set: N:\CRF3\06212000\I489667.raw W--> 251 <307> DATE: 1983 253 <300> PUBLICATION INFORMATION: 254 <301> AUTHORs: Regoli, 255 et al., 256 <303> JOURNAL: TIPS 257 <304> VOLUME: 9 258 <306> PAGES: 290-295 W--> 259 <307> DATE: 1988 261 <400> SEQUENCE: 7 262 Arg Pro Lys Pro Gln Gln Phe Phe Gly Leu Met Gly Lys Arg 266 <210> SEQ ID NO: 8 267 <211> LENGTH: 12 268 <212> TYPE: PRT 269 <213> ORGANISM: Artificial Sequence 271 <220> FEATURE: 272 <223> OTHER INFORMATION: Description of Artificial Sequence: This is a 273 carboxy ester synthetic precursor to substance P. 275 <220> FEATURE: 276 <223> OTHER INFORMATION: The Gly at the carboxy terminal (Gly at position 277 12) is ethylated. 279 <300> PUBLICATION INFORMATION: W--> 280 <310> PATENT DOCUMENT NUMBER: 5891842 281 <311> PATENT FILING DATE: 1996-04-12 282 <312> PUBLICATION DATE: 1999-04-06 284 <300> PUBLICATION INFORMATION: 285 <301> AUTHORs: Lee, Selseguest requerer fornot. 286 et al., 287 <303> JOURNAL: Eur. J. Biochem. 288 <304> VOLUME: 114 289 <306> PAGES: 315 327 W--> 290 <307> DATE: [1981] 292 <300> PUBLICATION INFORMATION: 293 <301> AUTHORS: Pernow, B. 294 <303> JOURNAL: Pharmacol. Rev. 295 <304> VOLUME: 35 296 <306> PAGES: 86-138 W--> 297 <307> DATE: 1983 299 <300> PUBLICATION INFORMATION: 300 <301> AUTHORs: Regoli, et al., 302 <303> JOURNAL: TIPS 303 <304> VOLUME: 9 304 <306> PAGES: 290-295 W--> 305 <307> DATE: 1988 307 <400> SEQUENCE: 8 308 Arg Pro Lys Pro Gln Gln Phe Phe Gly Leu Met Gly 312 <210> SEQ ID NO: 9

RAW SEQUENCE LISTING

DATE: 06/21/2000

TIME: 12:50:31

VERIFICATION SUMMARY
PATENT APPLICATION: US/09/489,667

DATE: 06/21/2000 TIME: 12:50:32

Input Set : A:\D28751.app

Output Set: N:\CRF3\06212000\I489667.raw

L:35 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:54 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:64 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:82 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:92 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD, MMM-YYYY or SEASON-YYYY, Wrong Journal Date: YYYY-MM-DD, MMM-YYYY or Season-YYYY ${\tt L:110~M:256~W:~Invalid~Numeric~Header~Field,~Wrong~PATENT~DOCUMENT~NUMBER:US~NN/NNN,NNN}$ L:120 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD, MMM-YYYY or SEASON-YYYY, Wrong Journal Date: YYYY-MM-DD, MMM-YYYY or Season-YYYY L:142 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:152 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD, MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:159 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD, MMM-YYYY or SEASON-YYYY, Wrong Journal Date: YYYY-MM-DD, MMM-YYYY or Season-YYYY L:167 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:188 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:198 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD, MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:205 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:213 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:234 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:244 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:251 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:259 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:280 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:290 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:297 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:305 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD,MMM~YYYY or Season-YYYY L:326 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:336 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:343 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:351 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:372 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:382 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:389 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD,MMM-YYYY or Season-YYYY L:397 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:419 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:429 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD.MMM-YYYY or Season-YYYY L:437 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:459 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:469 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:477 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD,MMM-YYYY or Season-YYYY L:485 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:507 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:517 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD, MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:525 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY L:555 M:256 W: Invalid Numeric Header Field, Wrong PATENT DOCUMENT NUMBER:US NN/NNN,NNN L:565 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD, MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD,MMM-YYYY or Season-YYYY L:573 M:285 W: Invalid Journal Date: Wrong YYYY-MM-DD,MMM-YYYY or SEASON-YYYY, Wrong Journal Date:YYYY-MM-DD, MMM-YYYY or Season-YYYY